


# CAPRICORN MUTUAL PROXY FORM

Name: \_\_\_\_\_

Address \_\_\_\_\_


\_\_\_\_\_

## STEP 1: Appoint a Proxy by using this form or VOTE ONLINE

	<p><b>Please read the guide on the back of this Proxy Form before completing it.</b></p> <p><b>For your appointment of a proxy to be effective, this Proxy Form must be received by Capricorn Mutual no later than 12.30 pm (AEDT) on Tuesday, 19<sup>th</sup> October 2021.</b></p>
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<p>I/We appoint the Chairman of the meeting:</p>	<input type="checkbox"/>	<p><u>OR</u></p>	<p>_____</p> <p>(Print name of proxy if appointing someone other than the Chairman as your proxy. If appointing the Chairman as your proxy, please leave blank.)</p>
<p>(Mark to appoint the Chairman as your proxy.)</p>			
<p>as my proxy at the Annual General Meeting of Capricorn Mutual Limited (ACN 104 601 194) to be held on Thursday, 21st October 2021 commencing at 12.30 pm (AEDT) and any adjournment thereof and to vote for me on my behalf in accordance with the directions in Step 2 below (or if no directions have been given, as the proxy sees fit).</p>			

## STEP 2: Items of Business

	<p>You may mark <b>For</b>, <b>Against</b> or <b>Abstain</b> on each Item of Business to direct your proxy how to vote. If no directions are given, your proxy may vote as they see fit. If you appoint the Chairman as your proxy, or if the Chairman is appointed by default as your proxy, and you do not mark any of the boxes for any Item of Business you are giving the Chairman an undirected proxy. The Chairman of the Meeting intends to vote undirected proxies in favour of each Item of Business. If you appoint the Chairman as your proxy you expressly authorise the Chairman to exercise your proxy (including an undirected proxy) even if the Item of Business is connected directly or indirectly with remuneration of members of key management personnel, including Directors.</p>
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### Ordinary Business

For                  Against                  Abstain

		For	Against	Abstain
Item 1	Re-elect Mr Bruce Rathie	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Item 2	Appoint Mr Mario Pirone as a Director with effect from 31 January 2022.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Item 3	Director Remuneration To consider and fix the Directors' total aggregate remuneration at \$400,000 (including statutory superannuation entitlements) from the financial year 2021/22 onwards.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Item 4	Amendments to the Constitution  Special Resolution 1:  Adoption of Mutual Capital Instruments (MCI) Constitution Amendments.  Special Resolution 2  Alignment of quorum requirement with the minimum number of directors requirement.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**STEP 3: Signature of Member and Member Number – THIS MUST BE COMPLETED**

Membership Number \_\_\_\_\_

\_\_\_\_\_  
Individual or Joint holder 1

\_\_\_\_\_  
Joint holder 2

\_\_\_\_\_  
Joint holder 3

\_\_\_\_\_  
Sole Director and Sole Company  
Secretary

\_\_\_\_\_  
Director

\_\_\_\_\_  
Director/ Company Secretary /  
Corporate Representative / Attorney

\_\_\_\_\_  
Contact Name

\_\_\_\_\_  
Contact Daytime Phone No

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_  
Date

## HOW TO COMPLETE THIS PROXY FORM

### Your Name and Address

This is your name and address as it appears on Capricorn Mutual's Member Registry. If this information is incorrect, please mark this box and make the corrections where indicated.

### Step 1 Appoint a Proxy

If you wish to appoint the Chairman of the Meeting as your proxy, mark the box. If the person you wish to appoint as your proxy is someone other than the Chairman, please write the name of that person. If you leave this section blank, or your named proxy does not attend the meeting, the Chairman of the Meeting will be your proxy. A proxy need not be a member of the company.

### Step 2 Items of Business

You may direct your proxy how to vote by placing a mark in one of the boxes opposite each item of business.

If you do not mark any of the boxes on a given item, your proxy may vote as he or she chooses. If you mark more than one box on an item your vote on that item will be invalid. A proxy is not entitled to vote on a show of hands.

The Chairman of the meeting intends to vote undirected proxies in favour of the relevant Item of Business.

### Step 3 Signature of Member and Member Number

You must insert your Member number where indicated and sign this form as follows:

**Individual (e.g. sole trader):** Where membership is in one name, the Member must sign (in the first box as an individual).

**Joint Member (e.g. partnership):** Where membership is in more than one name, all parties comprising the member must sign (in the first, second and third boxes as applicable as joint members), unless there is a nominated corporate representative who may sign the form individually (in the third box).

**Company:** Where membership is held by a company, the company's nominated corporate representative may sign (in the third box) or a director jointly with either another director or the company secretary may sign (in the second and third boxes) or if the company has only one director and no company secretary then that sole director may sign (in the first box). Please indicate the office held by the signatory(s) in each box signed by deleting those offices that are not applicable.

**Power of Attorney:** Where you are appointing a proxy under a Power of Attorney granted to you in respect of a Member then you must sign the Proxy Form as attorney (in the third box) and your Power of Attorney, if not already held by Capricorn Mutual, must accompany the Proxy Form or otherwise be received by Capricorn Mutual by 12.30 pm (AEDT) on Tuesday, 19th October 2021.

### Lodging this Voting Form

This Proxy Form must be received by Capricorn Mutual as indicated below no later than 12.30 pm (AEDT) on Tuesday, 19th October 2021 at the address below. Any Proxy Forms received after that time will not be valid for the AGM.

**By Mail:** Capricorn Mutual Limited, PO Box 4958, Sydney NSW 2001, Australia

Attention: The Company Secretary

**By Fax:** 1800 833 790 (Australia)  
0800 555 403 (New Zealand)

**By Email:** scan and email to  
[CMLAGM@capricornmutual.com](mailto:CMLAGM@capricornmutual.com)

**By Hand:** Capricorn Mutual Limited, Level 13, 9 Hunter Street, Sydney NSW 2000, Australia